

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of the Insurer: Universal Sampo General Insurance Company Limited

Registration Number: 134

Periodicity of Submission: Quarterly

Statement as on: 30th September 2022

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)

(Business within India)

(Rs. in Lakhs)

Section I			
No	PARTICULARS	SCH ++	AMOUNT
1	Investments (Shareholders)	8	89,736.08
	Investments (Policyholders)	8A	2,92,016.20
2	Loans	9	-
3	Fixed Assets	10	3,899.68
4	Deferred Tax Assets		1,567.55
5	Current Assets		
	a. Cash & Bank Balance	11	6,112.77
	b. Advances & Other Assets	12	1,50,893.95
6	Current Liabilities		-
	a. Current Liabilities	13	2,96,856.45
	b. Provisions	14	1,28,573.00
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		
	Application of Funds as per Balance Sheet (A)		1,18,796.78
	Less: Other Assets	SCH ++	Amount
1	Loans (if any)	9	0.00
2	Fixed Assets (if any)	10	3899.68
3	Deferred Tax Assets		1567.55
4	Cash & Bank Balance (if any)	11	6112.77
5	Advances & Other Assets (if any)	12	150893.95
6	Current Liabilities	13	296856.45
7	Provisions	14	128573.00
8	Misc. Exp not Written Off	15	0.00
9	Investments held outside India		
10	Debit Balance of P&L A/c		
	Total (B)		-2,62,955.50
	'Investment Assets'	(A-B)	3,81,752.28

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities and Sintex Ltd for Rs 1069 Lakhs in Shareholders Fund & Rs. 3477 Lakhs in Policyholders Fund

Section II	No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value (h)
				Balance	FRSM ⁺						
				(a)	(b)						
1	Central Govt. Securities	Not less than 20%	-	30,606.59	99,598.95	1,30,205.54	34.11	0.00	1,30,205.54	1,25,705.54	
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%	-	41,342.49	1,34,535.38	1,75,877.87	46.08	0.00	1,75,877.87	1,70,371.51	
3	Investment subject to Exposure Norms		-	48,373.61	1,57,415.79	2,05,789.39	53.92	85.03	2,05,874.42	2,02,291.30	
	a. Housing / Infra & Loans to SG for Housing and FFE						0.00				
	1. Approved Investments	Not less than 15%	-	26,412.56	85,950.86	1,12,363.42	29.44	-24.91	1,12,338.51	1,09,818.36	
	2. Other Investments		-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
	b. Approved Investments	Not exceeding 55%	-	21,548.04	70,120.90	91,668.94	24.02	98.07	91,767.01	90,704.04	
	c. Other Investments		-	413.01	1,344.02	1,757.03	0.46	11.86	1,768.90	1,768.90	
	Investment Assets	100%		89,716.10	2,91,951.16	3,81,667.26	100.00	85.03	3,81,752.29	3,72,662.81	

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Note: 1. (+) FRSM refers 'Funds representing Solvency Margin'

2. Other Investments' are as permitted under 27A(2)
3. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
4. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
5. SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
6. Investment Regulations, as amended from time to time, to be referred

PART - B

Name of the Insurer: Universal Sompo General Insurance Company Limited

Registration Number: 134

Statement as on: 30th September 2022

Statement of Accretion of Assets

(Business within India)

(Rs. Lakhs)

Periodicity of Submission : Quarterly

No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total
			(A)		(B)		(A+B)	
1	Central Govt. Securities		1,15,980.40	33.27	14,225.14	42.98	1,30,205.54	34.11
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl		1,62,552.59	46.63	13,325.28	40.26	1,75,877.87	46.08
3	Investment subject to Exposure Norms				0.00			
	a. Housing & Loans to SG for Housing and FFE				0.00			
	1. Approved Investments		46,249.90	13.27	-1,526.78	-4.61	44,723.12	11.72
	2. Other Investments				0.00			
	b. Infrastructure Investments				0.00			
	1. Approved Investments		71,305.41	20.46	-3,665.11	-11.07	67,640.30	17.72
	2. Other Investments				0.00			
	c. Approved Investments		65,941.64	18.92	25,727.30	77.73	91,668.94	24.02
	d. Other Investments (not exceeding 15%)		2,518.67	0.72	-761.64	-2.30	1,757.03	0.46
	Total		3,48,568.22	100.00	33,099.04	100.00	3,81,667.26	100.00